

OHIO PUBLIC WORKS COMMISSION

65 East State Street, Suite 312

Columbus, Ohio 43215

(614) 466-0880

CB632

APPLICATION FOR FINANCIAL ASSISTANCE

Revised 6/90

IMPORTANT: Applicant should consult the "Instructions for Completion of Project Application" for assistance in the proper completion of this form.

**APPLICANT NAME
STREET**

The Village of Addyston
235 Main Street

CITY/ZIP

Addyston, Ohio 45001

**PROJECT NAME
PROJECT TYPE
TOTAL COST**

Sekitan Street Water Service Rehabilitation
Water Supply Systems

\$ 120,000.00

**DISTRICT NUMBER
COUNTY**

2

Hamilton

TERMINATED
BY
APPLICANT

02 JUN 2 P 2: 29

PROJECT LOCATION ZIP CODE 45001

DISTRICT FUNDING RECOMMENDATION To be completed by the District Committee ONLY

RECOMMENDED AMOUNT OF FUNDING: \$ 56,000.00

FUNDING SOURCE (Check Only One):

State Issue 2 District Allocation

☐ Grant

☒ Loan

☐ Loan Assistance

State Issue 2 Small Government Fund

State Issue 2 Emergency Funds

Local Transportation Improvement Fund

FOR OPWC USE ONLY

OPWC PROJECT NUMBER: _____

OPWC FUNDING AMOUNT: \$ _____

1.0 APPLICANT INFORMATION

**1.1 CHIEF EXECUTIVE
OFFICER
TITLE
STREET**

Mr. Jeffrey Dunaway
Mayor, Village of Addyston
235 Main Street

**CITY/ZIP
PHONE
FAX**

Addyston, Ohio 45001
(513) 941 - 1313
(513) 941 - 0740

**1.2 CHIEF FINANCIAL
OFFICER
TITLE
STREET**

Ms. Dottie Pearson
Clerk, Village of Addyston
235 Main Street

**CITY/ZIP
PHONE
FAX**

Addyston, Ohio 45001
(513) 941 - 1313
(513) 941 - 0740

**1.3 PROJECT MGR
TITLE
STREET**

Mr. David F. Seitz
Principal, Smith, Stevens & Young, Inc.
11675 Lebanon Road

**CITY/ZIP
PHONE
FAX**

Cincinnati, Ohio 45241
(513) 563 - 1919
(513) 563 - 1411

**1.4 PROJECT CONTACT
TITLE
STREET**

Ms. Dottie Pearson
Clerk, Village of Addyston
235 Main Street

**CITY/ZIP
PHONE
FAX**

Addyston, Ohio 45001
(513) 941 - 1313
(513) 941 - 0740

**1.5 DISTRICT LIAISON
TITLE
STREET**

Mr. Joseph D. Cottrill
District 2 Liaison Officer
Hamilton County Engineer's Office
138 East Court Street, Room 700

**CITY/ZIP
PHONE
FAX**

Cincinnati, Ohio 45202
(513) 632 - 8540
(513) 723 - 9748

2.0 PROJECT INFORMATION

IMPORTANT: If project is multi-jurisdictional in nature, information must be consolidated for completion of this section.

2.1 **PROJECT NAME:** Sekitan Street Water Service Rehabilitation

2.2 **BRIEF PROJECT DESCRIPTION - (Sections A through D):**
A. SPECIFIC LOCATION:

On Sekitan Street between fire hydrants 2SK and 4SK.

B. PROJECT COMPONENTS:

Replace existing 4" diameter water line on the main portion of Sekitan Street with a 6" diameter water line.

C. PHYSICAL DIMENSIONS/CHARACTERISTICS:

1,600 l.f. of 6" diameter water line (replacement).

D. DESIGN SERVICE CAPACITY:

IMPORTANT: Detail shall be included regarding current service capacity vs proposed service level. If road or bridge project, include ADT. If water or wastewater project, include current residential rates based on monthly usage of 7,756 gallons per household.

The availability of water for domestic service and fire fighting on Sekitan Street is extremely poor. This is based on an actual incident and confirmed by a computer simulation (pressure less than OPSI). This project is the second project planned to increase the availability of water for domestic service and fire fighting. The computer simulation indicated a pressure increase of 15 - 20 psi.

2.3 **REQUIRED SUPPORTING DOCUMENTATION**

(Photographs/Additional Description; Capital Improvements Report; Priority List 5-year Plan; 2-year Maintenance of Effort report, etc.) Also discuss the number of temporary and/or fulltime jobs which are likely to be created as a result of this project. Attach Pages. Refer to accompanying instructions for further detail.

No new jobs will be created. Capital Improvement Report and 2 Year Maintenance Effort will follow.

3.0 PROJECT FINANCIAL INFORMATION

3.1 PROJECT ESTIMATED COSTS (Round to Nearest Dollar):

a)	Project Engineering Costs:	
	1. Preliminary Engineering	\$ <u>N/A</u>
	2. Final Design	\$ <u>N/A</u>
	3. Construction Supervision	\$ <u>N/A</u>
b)	Acquisition Expenses	
	1. Land	\$ <u>N/A</u>
	2. Right-of-Way	\$ <u>N/A</u>
c)	Construction Costs	\$ <u>109,500.00</u>
d)	Equipment Costs	\$ <u>N/A</u>
e)	Other Direct Expenses	\$ <u>N/A</u>
f)	Contingencies	\$ <u>10,500.00</u>
g)	TOTAL ESTIMATED COSTS	\$ <u>120,000.00</u>

3.2 PROJECT FINANCIAL RESOURCES (Round to Nearest Dollar and Percent)

	Dollars	%
a)	Local In-Kind Contributions	\$ <u> </u>
b)	Local Public Revenues	\$ <u>64,000.00</u> <u>53</u>
c)	Local Private Revenues	\$ <u> </u>
d)	Other Public Revenues	
	1. ODOT	\$ <u> </u>
	2. FMHA	\$ <u> </u>
	3. OEPA	\$ <u> </u>
	4. OWDA	\$ <u> </u>
	5. CDBG	\$ <u> </u>
	6. Other <u> </u>	\$ <u> </u>
e)	OPWC Funds	
	1. Grant	\$ <u> </u>
	2. Loan	\$ <u>56,000.00</u> <u>47</u>
	3. Loan Assistance	\$ <u> </u>
f)	TOTAL FINANCIAL RESOURCES	\$ <u>120,000.00</u> <u>100</u>

* If the required local match is to be 100% In-Kind Contributions, list source of funds to be used for retainage purposes:

3.3 AVAILABILITY OF LOCAL FUNDS

Indicate the status of all local share funding sources listed in section 3.2(a) through 3.4(c). In addition, if funds are coming from sources listed in section 3.2(d), the following information must be attached to this project application:

- 1) The date funds are available;
- 2) Verification of funds in the form of an agency approval letter or agency project number. Please include the name and number of the agency contact person.

3.4 PREPAID ITEMS

Definitions:

Cost -	Total Cost of the Prepaid Item.
Cost Item -	Non-construction costs, including preliminary engineering, final design, acquisition expenses (land or right-of-way).
Prepaid -	Cost items (non-construction costs directly related to the project) paid prior to receipt of fully executed Project Agreement from OPWC.
Resource Category -	Source of funds (see section 3.2).
Verification -	Invoice(s) and copies of warrant(s) used to for prepaid costs; accompanied by Project Manager's Certification (see section 1.4).

IMPORTANT: Verification of all prepaid items shall be attached to this project application

	<u>COST ITEM</u>	<u>RESOURCE CATEGORY</u>	<u>COST</u>
1)	_____	_____	\$ _____
2)	_____	_____	\$ _____
3)	_____	_____	\$ _____
TOTAL OF PREPAID ITEMS		\$	N/A _____

3.5 REPAIR/REPLACEMENT or NEW/EXPANSION

This section need only be completed if the Project is to be funded by SI2 funds:

TOTAL PORTION OF PROJECT REPAIR/REPLACEMENT	\$ 120,000.00	100 %
State Issue 2 Funds for Repair/Replacement (Not to Exceed 90%)	\$ 56,000.00	47 %
TOTAL PORTION OF PROJECT NEW/EXPANSION	\$ _____	_____ %
State Issue 2 Funds for New/Expansion (Not to Exceed 50%)	\$ _____	_____ %

4.0 PROJECT SCHEDULE

	ESTIMATED START DATE	ESTIMATED COMPLETE DATE
4.1 ENGR. DESIGN	08 / 05 / 92	01 / 30 / 93
4.2 BID PROCESS	05 / 15 / 93	06 / 15 / 93
4.3 CONSTRUCTION	06 / 30 / 93	12 / 15 / 93

5.0 APPLICANT CERTIFICATION

The Applicant Certifies That:

As the official representative of the Applicant, the undersigned certifies that: (1) he/she is legally empowered to represent the applicant in both requesting and accepting financial assistance as provided under Chapter 164 of the Ohio Revised Code and 164-1 of the Ohio Administrative Code; (2) that to the best of his/her knowledge and belief, all representations that are a part of this application are true and correct; (3) that all official documents and commitments of the applicant that are a part of this application have been duly authorized by the governing body of the Applicant; (4) and, should the requested financial assistance be provided, that in the execution of this project, the Applicant will comply with all assurances required by Ohio law, including those involving minority business utilization, Buy Ohio, and prevailing wages.

IMPORTANT: Applicant certifies that physical construction on the project as defined in this application has not begun, and will not begin, until a Project Agreement on this project has been issued by the Ohio Public Works Commission. Action to the contrary is evidence that OPWC funds are not necessary to complete this project.

IMPORTANT: In the event of a project cost underrun, applicant understands that the identified local match share (sections 3.2(a) through 3.2(c)) will be paid in full toward completion of this project. Unneeded OPWC funds will be returned to the funding source from which the project was financed.

Jeffrey Dunaway, Mayor

Certifying Representative (Type Name and Title)

Jeffrey Dunaway 10-2-92
Signature/Date Signed

Applicant shall check each of the statements below, confirming that all required information is included in this application:

- | | |
|--------------|--|
| <u> * </u> | A five-year Capital Improvements Report as required in 164-1-31 of the Ohio Administrative Code and a two-year Maintenance of Local Effort Report as required in 164-1-12 of the Ohio Administrative Code. |
| <u> X </u> | A registered professional engineer's estimate of useful life as required in 164-1-13 of the Ohio Administrative Code. Estimate shall contain engineer's <u>original seal and signature</u> . |
| <u> X </u> | A registered professional engineer's estimate of cost as required in 164-1-14 and 164-1-16 of the Ohio Administrative Code. Estimate shall contain engineer's <u>original seal and signature</u> . |
| <u> * </u> | A certified copy of the legislation by the governing body of the applicant authorizing a designated official to submit this application and to execute contracts. |
| <u> X </u> | YES A copy of the cooperation agreement(s) (for projects involving more than one subdivision or district). |
| <u> X </u> | N/A |
| <u> X </u> | YES Copies of all invoices and warrants for those items identified as "pre-paid" in section 4.4 of this application. |
| <u> X </u> | N/A |

(*) Information Will Follow.

VILLAGE OF ADDYSTON
1994 STATE ISSUE 2 FINANCIAL ASSISTANCE APPLICATION
FOR
SEKITAN STREET WATER SERVICE REHABILITATION

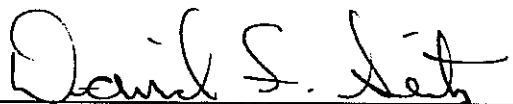
COST ESTIMATE:

Replace 1,600 l.f. of 4" diameter water line with 6"
diameter water line.

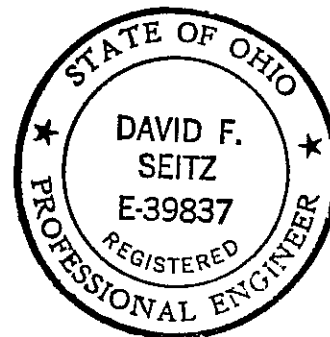
6" Ductile Iron Pipe	\$16.00/l.f.	\$ 25,600.00
Excavation/Backfill	\$20.00/l.f.	\$ 32,000.00
Household Taps (30)	\$600. Each	\$ 18,000.00
Tie-ins (4)	\$800. Each	\$ 3,200.00
Pavement and Misc. Restoration	\$10.00/l.f.	<u>\$ 16,000.00</u>
SUBTOTAL		\$ 94,800.00
10% Contr. O&P		<u>9,500.00</u>
SUBTOTAL		\$104,300.00
5% Escalating (1993)		<u>5,200.00</u>
SUBTOTAL		\$109,500.00
10% Contingency		<u>10,500.00</u>
GRAND TOTAL		\$120,000.00

USEFUL LIFE ESTIMATE: 60 Years

FOR: SMITH, STEVENS & YOUNG, INC.

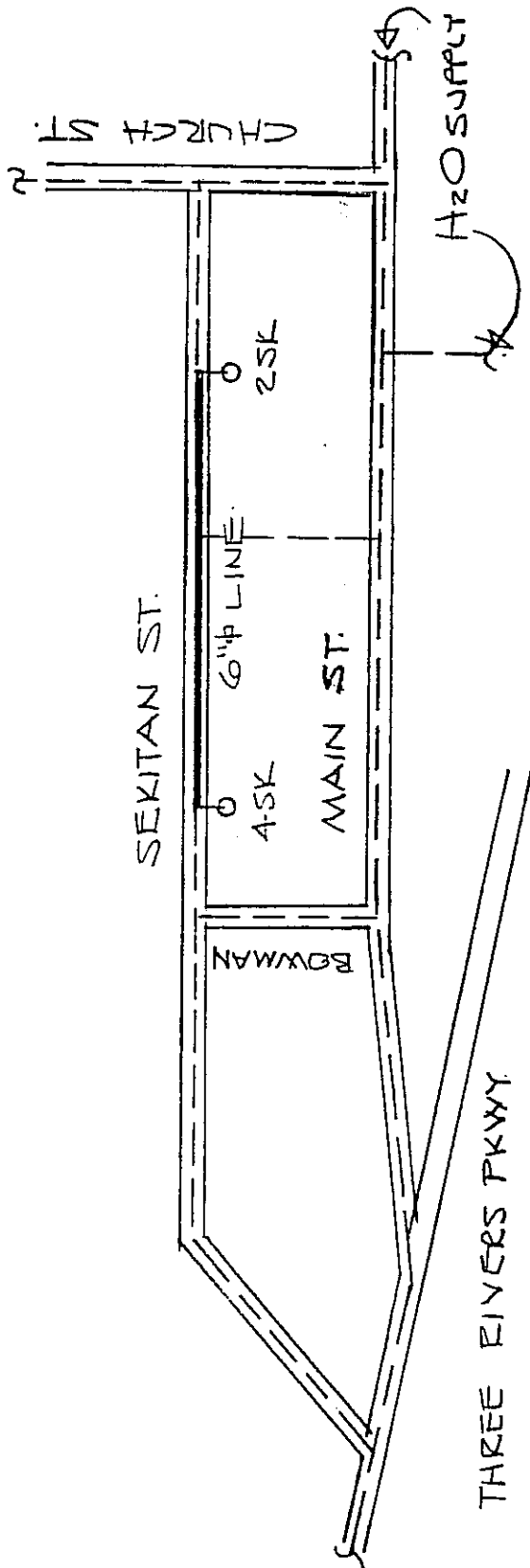


David F. Seitz, P.E.



BY DFS DATE 10/2/92 PROJECT ADDYSTON ISSUE 2
CHKD. _____ DATE _____ SUBJECT SEKITAN ST. REHAB.

SHEET 1 OF 1
JOB NO. 9035.01



SCHEMATIC PLAN

LEGEND

- EXIST H₂O LINE
- NEW H₂O LINE
- (R) REPLACE
- (N) NEW



Village of Addyston... INCORPORATED



ADDYSTON, OHIO 45001

October 2nd, 1992

Hamilton County Engineer's Office
138 E. Court Street
Room 700, County Administration Building
Cincinnati, Ohio 45202

Attention: William Brayshaw

Reference: Issue 2--Sekitan Street Project

Dear Mr. Brayshaw:

I hereby certify that the funds in the amount of
\$64,000.00 for the Sekitan Street Water Service Rehabilitation
is available for this project.

Very truly yours,

VILLAGE OF ADDYSTON

Dottie Pearson

Dottie Pearson,
Chief Fiscal Officer



Village of Addyston...

INCORPORATED

ADDYSTON, OHIO 45001



Oct. 6th, 1992

William Brayshaw
Hamilton County Engineer
700 County Administration Building
138 East Court Street
Cinti, OHio 45202-1258

Mr. Brayshaw,

The Addyston Village Council authorized Mayor Jeffrey L. Dunaway to sign the Issue II funds at the Oct. 6, 1992 Council meeting.

Sincerely,

Dottie Pearson

Dottie Pearson
Village Clerk

CASH BASIS COMBINED ANNUAL FINANCIAL REPORT

For The Fiscal Year Ended December 31, 1991

City/Village

Hamilton

County



THOMAS L. FERGUSON
Auditor of State

(24)

	Governmental Fund Types	Expendable Trust Funds	Proprietary Funds	Nonexpendable Trust Funds	Agency Funds	Total Memorandum Only
RECEIPTS	REVENUE RECEIPTS:		OPERATING REVENUES:			
Local Taxes	458021					
Intergovernmental Revenue	129210					
Special Assessments			.64568			
Charges for Services	21730					
Fines, Licenses, & Permits	40770	11000	7872			
Miscellaneous	647740	11000	72441			733121
TOTAL RECEIPTS						
DISBURSEMENTS	EXPENDITURE DISBURSEMENTS:		OPERATING EXPENSES:			
Current:						
Security of Person & Property	302550					
Public Health Services	3331					
Leisure Time Activities	27132					
Community Environment	3922					
Basic Utility Services	95120		81499			
Transportation	205560					
General Government	145450					
Personal Services						
Travel Transportation						
Contractual Services						
Supplies and Materials		11000				
Capital Outlay						
Debt Service	81156		81499			857929
TOTAL DISBURSEMENTS	765430	11000	81499			
Total Receipts over/under Disbursements	(115690)	-0-	(9058)			(124748)
	OTHER FINANCING SOURCES/(USES)		NON-OPERATING REVENUES/(EXPENSES):			

ADDITIONAL SUPPORT INFORMATION

For Fiscal Year 1994 (July 1, 1993 through June 30, 1994), jurisdictions shall provide the following support information to help determine which projects will be funded. Information on this form must be accurate, and where called for, based on sound engineering principles. Documentation to substantiate the individual items may be required by the Support Staff if information does not appear to be accurate.

- 1) What is the condition of the existing infrastructure to be replaced, repaired, or expanded? For bridges, submit a copy of the current State form BR-86.

Closed _____

Poor X

Fair _____

Good _____

Give a brief statement of the nature of the deficiency of the present facility such as: inadequate load capacity (bridge); surface type and width; number of lanes; structural condition; substandard design elements such as berm width, grades, curves, sight distances, drainage structures, or inadequate service capacity. If known, give the approximate age of the infrastructure to be replaced, repaired, or expanded.

The water lines to be replaced are 4" diameter and approximately 60 years
old. There is insufficient water supply for domestic and fire fighting
purposes along Sekitan Street.

- 2) If State Issue 2 funds are awarded, how soon (in weeks or months) after receiving the Project Agreement from OPWC (tentatively set for July 1, 1993) would the project be under contract? The Support Staff will be reviewing status reports of previous projects to help judge the accuracy of a particular jurisdiction's anticipated project schedule.

 2 weeks/months (Circle one)

Are preliminary plans or engineering completed? Yes No

Are detailed construction plans completed? Yes No

Are all right-of-way and easements acquired? Yes No N/A

Are all utility coordinations completed? Yes No N/A

Give an estimate of time, in weeks or months, to complete any item above not yet completed. 3 weeks/months

- 3) How will the proposed project impact the general health, safety and welfare of the service area? (Typical examples may include the effects of the completed project on accident rates, emergency response time, fire protection, health hazards, user benefits, and commerce.) Please be specific and provide documentation if necessary to substantiate the data.

The domestic and fire protection service along Sekitan Street will be
improved.

- 4) What type of funds are to be utilized for the local share for this project?

Federal	_____	ODOT	_____	Local	<u>X</u>
MRF	_____	ODNR	_____	CD	_____
Other	_____				

Note: If MRF funds are being used for the local share, the MRF application must have been filed by August 1, 1992 for this project with the Hamilton County Engineer's Office.

The minimum amount of matching funds for grant projects (local share) must be at least 10% of the TOTAL CONSTRUCTION COST. What percentage of matching funds are being committed to this project?

53 %

- 5) Has any formal action by a federal, state, or local government agency resulted in a complete or partial ban of the use or expansion of use for the involved infrastructure? (Typical examples include weight limits, truck restrictions, and moratoriums or limitations on issuance of building permits.) A copy of the legislation must be submitted with the application. THE BAN MUST HAVE AN ENGINEERING JUSTIFICATION TO BE VALID.

Complete Ban	_____	Partial Ban	_____	No Ban	<u>X</u>
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Will the ban be removed after the project is completed?

Yes _____ No _____

- 6) What is the total number of existing users that will benefit as a result of the proposed project?

120 Users

For roads and bridges, multiply current documented Average Daily Traffic by 1.20. For public transit, submit documentation substantiating the count. Where the facility currently has any restrictions or is partially closed, use documented traffic counts prior to the restriction. For storm sewers, sanitary sewers, water lines, and other related facilities, multiply the number of households in the service area by 4.

- 7) Has the jurisdiction developed a Five Year Capital Improvement Plan as required in O.R.C., chapter 164? (This must be included with the application to be considered for funding.)

Yes _____ No X Updated plan on revised format will follow.

- 8) Give a brief statement concerning the regional significance of the infrastructure to be replaced, repaired, or expanded.

This rehabilitation has no regional significance.

STATE ISSUE 2 PROGRAM - ROUND 6

LTIP PROGRAM - ROUND 5

FISCAL YEAR 1994 PROJECT SELECTION CRITERIA - JULY 1, 1993 TO JUNE 30, 1994

ADOPTED BY THE DISTRICT 2 INTEGRATING COMMITTEE JULY 17, 1992

AMENDED BY THE DISTRICT 2 INTEGRATING COMMITTEE SEPTEMBER 18, 1992

JURISDICTION/AGENCY: Village of Adhyston

NAME OF PROJECT: Skidan Street Water Service Rehabilitation

TOTAL POINTS FOR THIS PROJECT: 54

NO. POINTS

- 10 1) If Issue 2/LTIP Funds are granted, when would the construction contract be awarded? (The Support Staff will assign points based on engineering experience.)
- 10 Points - Will be under contract by end of 1993
 - 5 Points - Will be under contract by March 30, 1994
 - 0 Points - Will not be under contract by March 30, 1994
- 20 2) What is the condition of the infrastructure to be replaced or repaired? For bridges, base condition on latest general appraisal and condition rating.
- 20 Points - Poor Condition
 - 16 Points -
 - 12 Points - Fair to Poor Condition
 - 8 Points -
 - 4 Points - Fair Condition

NOTE: If the infrastructure is in "good" or better condition it will NOT be considered for Issue 2/LTIP funding, unless it is a betterment project that will improve serviceability.

_____ 3) If the project is built, what will be its effect on the facility's serviceability?

4

- 10 Points - Significant effect (e.g., widen to and add lanes along entire project)
- 8 Points - Moderate to significant effect
- 6 Points - Moderate effect (e.g., widen exist. lanes)
- 4 Points - Moderate to little effect
- 2 Points - Little or no effect (e.g., street or bridge deck rehabilitation)

6 4) How important is the project to HEALTH, SAFETY, AND WELFARE of the public and the citizens of the District and/or service area?

- 10 Points - Highly significant importance, with substantial impact on all 3 factors
- 8 Points - Considerably significant importance, with substantial impact on 2 factors OR noticeable impact on all 3 factors
- 6 Points - Moderate importance, with substantial impact on 1 factor or noticeable impact on 2 factors
- 4 Points - Minimal importance, with noticeable impact on 1 factor
- 2 Points - No measurable impact

6 5) What is the overall economic health of the jurisdiction?

- 10 Points - Poor
- 8 Points -
- 6 Points - Fair
- 4 Points -
- 2 Points - Excellent

5 6) What matching funds are being committed to the project, expressed as a percentage of the TOTAL CONSTRUCTION COST? Loan and Credit Enhancement projects automatically receive 5 points, and no match is required. All grant funded projects require a minimum of 10% matching funds.

- 5 Points - 50% or more
- 4 Points - 40% to 49.99%
- 3 Points - 30% to 39.99%
- 2 Points - 20% to 29.99%
- 1 Point - 10% to 19.99%

- 0 7) Has any formal action by a federal, state, or local government agency resulted in a partial or complete ban of the usage or expansion of the usage for the involved infrastructure? POINTS MAY ONLY BE AWARDED IF THE END RESULT OF THE PROJECT WILL CAUSE THE BAN TO BE LIFTED.

5 Points - Complete or significant ban
3 Points - Partial or moderate ban
0 Points - No ban of any kind

- 1 8) What is the total number of existing daily users that will benefit as a result of the proposed project? Appropriate criteria include current traffic counts, households served, when converted to a measurement of persons. Public transit users are permitted to be counted for roads and bridges, but only when certifiable ridership figures are provided.

5 Points - 10,000 or more
4 Points - 7,500 to 9,999
3 Points - 5,000 to 7,499
2 Points - 2,500 to 4,999
1 Point - 2,499 and under

- 1 9) Does the infrastructure have REGIONAL impact? Consider origins and destinations of traffic, functional classification, size of service area, number of jurisdictions served, etc.

5 Points - Major impact (e.g., major multi-jurisdictional route, primary feed route to an Interstate, Federal - Aid Primary routes)
4 Points -
3 Points - Moderate impact (e.g., principal thoroughfares, Federal - Aid Urban routes)
2 Points -
1 Point - Minimal or no impact (e.g., cul-de-sacs, subdivision streets)

- 1 10) Has the jurisdiction enacted the optional \$5 license plate fee, an infrastructure levy, a user fee, or a dedicated tax for infrastructure?

2 Points - Two of the above
1 Point - One of the above
0 Points - None of the above

ADDENDUM TO THE RATING SYSTEM DEFINITIONS

CRITERION 2 - CONDITION

Poor - Condition is dangerous, unsafe or unusable

Fair to Poor - Condition is inadequate or substandard

Fair - Condition is average, not good or poor

CRITERION 5 - ECONOMIC HEALTH

The following factors are used to determine economic health:

- 1) Median per capita income
- 2) Per capita assessed valuation of the total community real estate and personal property
- 3) Poverty indicators
- 4) Effective tax rates
- 5) Total corporate debt as a percentage of assessed valuation
- 6) Municipal revenues and expenditures per capita

CRITERION 9 - REGIONAL IMPACT

- | | |
|-------------------|--|
| Major impact - | Primary water or sewer main serving an entire system |
| Moderate impact - | Waterline or storm sewer serving only part of a system |
| Minimal impact - | Individual waterline or storm sewer not part of a system |